



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT**

ATTY DOCKET NO.: 5649-821DV

DATE: September 5, 2003



**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR § 1.53(b) is:

- ☐ a patent application  
☐ a Continuation ☒ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no.: 09/556,499; filed April 24, 2000 the disclosure of which is incorporated herein by reference in its entirety.  
☐ and claims the benefit of Provisional Application No. \_\_\_\_\_; filed \_\_\_\_\_.

Inventor(s) or Application Identifier:

**Jong-woo Park** – Republic of Korea;  
**Dong-gun Park** – Republic of Korea

**Yun-gi Kim** – Republic of Korea

Entitled: **METHODS OF FORMING SELF-ALIGNED CONTACT STRUCTURES IN SEMICONDUCTOR INTEGRATED CIRCUIT DEVICES**

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Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 16 pages of Specification (including 19 claims)
3. ☒ 5 sheets of Formal Drawings (35 USC 113)
4. ☒ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☒ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 6 Below]
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☒ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

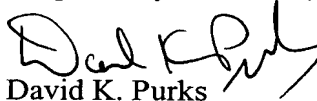
7. ☐ Microfiche Computer Program (*Appendix*)
8. ☒ Assignment papers (*cover sheet(s) and document(s)*) **Filed in Parent – Copy not Enclosed**
9. ☐ Applicant claims small entity status. See 37 CFR § 1.27.
10. ☒ Information Disclosure Statement, PTO-1449, and PTO-892 from Parent Application
11. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
12. ☐ English Translation Document
13. ☒ Certified Copy of Korean Application No. 200-5358; Filed 2-2-00 **Filed in Parent – Copy not Enclosed**
14. ☐ Sequence Listing/ Sequence Listing Diskette
  - a. ☐ computer readable copy
  - b. ☐ paper copy
  - c. ☐ statement in support
15. ☐ An Associate Power of Attorney
16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
17. ☒ Other: Remarks Accompanying Filing of Divisional Application

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate      Fee	Large Entity Rate      Fee
BASIC FEE			\$375.00	\$ 750.00
TOTAL CLAIMS	19 - 20 =	0	x 09 = \$	x 18 = \$ - 0 -
INDEP CLAIMS	3 - 3 =	0	x 42 = \$	x 84 = \$ - 0 -
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 140 = \$	+ 280 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total \$750.00

- ☐ A check in the amount of \$                      to cover the filing fee is enclosed.
- ☐ A check in the amount of \$                      is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).
- ☒ Please charge my Deposit Account No. 50-0220 in the amount of \$750.00.
- ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:
- a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
  - b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
David K. Purks

Registration No. 40,133

#### CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EV 193633323 US

Date of Deposit: September 5, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Audra Wooten

Attorney's Docket No.: 5649-821DV

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Park et al.

Serial No.: To Be Assigned

Filed: Concurrently Herewith

For: **METHODS OF FORMING SELF-ALIGNED CONTACT STRUCTURES IN SEMICONDUCTOR INTEGRATED CIRCUIT DEVICES**

September 5, 2003

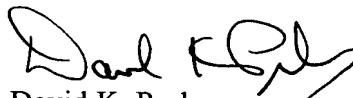
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Alexandria, VA 22313-1450

**REMARKS ACCOMPANYING FILING OF DIVISIONAL APPLICATION**

Dear Sirs:

The present divisional application is being filed with Claims 1 – 19 which correspond to Claims 33 – 50 which were cancelled from parent application U.S. Serial No. 09/556,499 that has now been allowed. A Claim for Priority has been added on page 1 of the Specification to include a claim for priority to the parent application. Favorable examination and allowance of the present divisional application is respectfully requested.

Respectfully submitted,



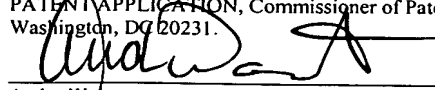
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Audra Wooten

Date of Signature: September 5, 2003